



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q81712

Hiroo TAKIZAWA

Appln. No.: 10/849,519

Group Art Unit: 2878

Confirmation No.: 7137

Examiner: Not yet assigned

Filed: May 20, 2004

For: TWO-PHOTON ABSORBING POLYMERIZATION METHOD, TWO-PHOTON  
ABSORBING OPTICAL RECORDING MATERIAL AND TWO-PHOTON  
ABSORBING OPTICAL RECORDING METHOD

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
U.S. Appl. No.: 10/849,519

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

JP-A-2-3081:

An English abstract is submitted with the above document.

JP-A-3-89219:

An English abstract is submitted with the above document.

Article (Document 5) - Product Information of SAN-EI TECH LTD.:

An English translation of the circled portion on page 1 of Document 5 is attached, along with the above document.

Article (Document 6) - Catalog of Epo-Tek Epoxies 301 and 301-2:

Applicants have provided an English-language website printout discussing the Epo-Tek Epoxies 301 and 301-2 which are listed in the Japanese language catalog.

Applicants have advised that the five cited documents were submitted to the JPO in the corresponding Japanese patent application by a third party, on September 7, 2006. We are endeavoring to obtain an English translation of the third party's comments. A sixth document cited by the third party (1999 Nature article) is already of record in this application.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/849,519

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: November 30, 2006

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/849,519
Confirmation Number	7137
Filing Date	May 20, 2004
First Named Inventor	Hiroo TAKIZAWA
Art Unit	2878
Examiner Name	Not yet assigned
Attorney Docket Number	Q81712

NOV 30 2006

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2-3081	A	01/08/1990		English abstract
		JP	3-89219	A	04/15/1991		English abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Mukesh P. Joshi et al., "Three-dimensional optical circuitry using two-photon-assisted polymerization" (1999) American Institute of Physics, Vol. 74, pp. 1-3/E	English
		Product Information of SAN-EI TECH LTD., pp. 1-7/E	Partial English translation
		Catalog of Epo-Tek Epoxies 301 and 301-2, pp. 1-5/E	No

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.